



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q80818

Ryutaro KOGAWA

Appln. No.: 10/815,729

Group Art Unit: 2876

Confirmation No.: 9470

Examiner: April A. Taylor

Filed: April 02, 2004

For:

MOBILE COMMUNICATION TERMINAL INCLUDING NON-CONTACT IC CARD AND

METHOD OF TRANSFERRING TRANSACTION INFORMATION

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. §§ 1.97 and 1.98</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- 1. U.S. Patent Application No. 20020060246 A1, published May 23, 2002,
- 2. International Publication No. WO01/86538 A1, published November 15, 2001,
- 3. UK Patent Application No. 2,378,294 A, published February 5, 2003,
- 4. French Patent No. 2,801,995 A1, published June 8, 2001 (with English abstract),
- 5. U.S. Patent No. 5,530,438 A, published June 25, 1996,
- 6. International Publication No. WO01/98970 A1, published December 27, 2001.

One copy of each of the listed documents is submitted herewith.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/815,729

ATTY. DOCKET NO. Q80818

The present Information Disclosure Statement is being filed (without a Statement Under

37 C.F.R § 1.97(e)) after the later of three months from the application's filing date and the

mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of

Allowance, or an action that otherwise closes prosecution in the application (whichever is

earlier), and therefore a check for the fee of \$180.00 under 37 C.F.R. § 1.17(p) is attached.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a European Search Report dated

October 4, 2004, which cites such documents and indicates the degree of relevance found by the

foreign office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee

and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to

said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Howard L. Bernstein

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WASHINGTON OFFICE

CUSTOMER NUMBER

Date: January 11, 2005

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Substitute for Form 1449 A & B/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

Complete if Known		
Application Number	10/815,729	
Confirmation Number	9470	
Filing Date	April 2, 2004	
First Named Inventor	Ryutaro KOGAWA	
Art Unit	2876	
Examiner Name	April A. Taylor	
 Attorney Docket Number	Q80818	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Dublication Data	
		Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US 20020060246	Al	05-23-2002	VENKATA T. GOBBURU, ET AL.
		US 5,530,438	Α	06-25-1996	MOTOROLA, INC.
		US			
	·	US			
		US			

FOREIGN PATENT DOCUMENTS							
	Cite	Fo	Foreign Patent Document		Publication Date	Name of Patentee or	
	No.1	Country Code ³	Number ⁴	Kind Codo ⁵ MM DD	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		wo	0186538	A1	11-15-2001	TELEFONAKTIEBOLAGET LM ERICSSON	
		GB	2378294	A	02-05-2003	HALTFERN LIMITED (incorporated in the UK)	
		FR	2801995	Al	06-08-2001	DUVAL BRUNO	Abstract
		WO	0198970	Al	12-27-2001	LEX GUEZ	
		ļ					

NON PATENT LITERATURE DOCUMENTS					
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
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Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.